## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Dardis Jennifer B			2. Issuer Name and PRICE T RO		ing Symbol <u>UP INC</u> [ TROW ]		tionship of Reportir all applicable) Director Officer (give title	10%	, )% O	ssuer wner specify
(Last) 100 E. PRATT	(First) STREET	(Middle)	3. Date of Earliest 12/06/2022	Transaction (M	onth/Day/Year)		below)		below)	opcony
(Street)			4. If Amendment, D	ate of Original	Filed (Month/Day/Year)	Line)	/idual or Joint/Grou	• • •		
BALTIMORE	MD	21202	-				Form filed by One Form filed by Mor Person	1 0		
(City)	(State)	(Zip)		Acquired	Dispessed of an Dana	ficially				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   A Two of the table is the ta										

1. Little of Security (instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(1130. 4)
Common Stock	12/06/2022		A		5,564 <sup>(1)</sup>	A	\$0.00	27,578.7732	D	
Common Stock	12/06/2022		A	v	149.745	A	<b>\$130.224</b> <sup>(2)</sup>	27,728.5182	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								•							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				6. Date Exerc Expiration Da (Month/Day/\	Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. 12/6/2022 - The restricted stock unit award is settled in shares of common stock upon vesting. The awards vest in three annual installments beginning on December 8, 2023. As previously disclosed in the Company's 2019 Proxy Statement, in addition to this time-based award, the officer was also granted a performance-based award, which if earned, would vest in two annual installments beginning on December 10, 2026.

2. Shares acquired pursuant to the T. Rowe Price Group, Inc. Employee Stock Purchase Plan at the noted weighted-average price.

#### **Remarks:**

Cheryl L. Emory, Assistant Corporate Secretary, as attorney in fact for Dardis, Jennifer B.

12/08/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.